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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 12

Application Number	10/699,713
Filing Date	November 3, 2003
First Named Inventor	James R. Becker
Art Unit	3744
Examiner Name	William Charles Doerrler
Attorney Docket No.	ARS-103US

### ENCLOSURES (Check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation,<br>Change of Correspondence<br>Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication<br>to TC<br><br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply<br>Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below): Transmittal<br>Sheet; Part B Fee(s) Transmittal<br>(1 & 1 copy); PTO-2038; Copy<br>of filing of 5/24/05 Notification of<br>Erroneous Filing of Small Entity<br>Statement; Copy of 5/24/05<br>Transmittal; Copy of 5/24/05<br>PTO-2038; Copy of 5/24/05 Fee<br>Transmittal (in duplicate); and<br>Return Postcard. |
|--|--|--|

Remarks:

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature			
Printed Name	Allan Ratner		
Date	January 25, 2006	Registration No.	19,717

### CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or Printed Name	Kathleen Spina	Date	January 25, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Appln. No.: 10/699,713

ARS-103US



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**COPY**

Appln. No: 10/699,713  
Applicant: James R. Becker  
Filed: November 3, 2003  
Title: DRY ICE BLOCK EXTRUDER  
TC/A.U.: 3744  
Examiner: William Charles Doerrler  
Confirmation No.: 3526  
Docket No.: ARS-103US

**NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY  
(37 C.F.R. § 1.28(c))**

**Erroneous Filing of Small Entity Statement**

1. On November 3, 2003, a small entity assertion was erroneously filed in this  
☒ application  
☐ patent
2. This assertion of small entity status in this application and the payment of fee(s) as a small entity was/were made in good faith.
3. It has now been discovered that such status as a small entity was established in error.

**Itemization of the Fee(s) Erroneously Paid as Small Entity**

FEE(S) ERRONEOUSLY PAID AS <u>A SMALL ENTITY</u>	FEE ACTUALLY PAID <u>AS A SMALL ENTITY</u>	DEFICIENCY <u>OWED</u>
<input checked="" type="checkbox"/> Filing fee paid on <u>11/3/03</u>	\$ 385.00	\$ -85.00
<input type="checkbox"/> Fee for excess claims (over 20 paid on _____)	\$	\$
<input type="checkbox"/> Fee for multiple claims paid on _____	\$	\$
<input checked="" type="checkbox"/> Search fee paid on <u>11/3/03</u>	\$ 0	\$ 500.00
<input checked="" type="checkbox"/> Examination fee	\$ 0	\$ 200.00
<input type="checkbox"/> Extension of time fee paid on _____	\$	\$
<input type="checkbox"/> The issue fee paid on _____	\$	\$

Appln. No.: 10/699,713

ARS-103US

☐ \_\_\_\_\_ maintenance fee  
paid on \_\_\_\_\_

\$

\$

☐ Other:

\$

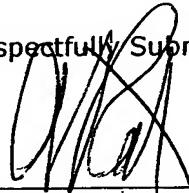
\$

**Total deficiency  
owed**

\$ 615.00

**COPY**

Respectfully Submitted,



\_\_\_\_\_  
Allan Ratner, Reg. No., 19,717  
Attorney for Applicant(s)

AR/ks

Dated: May 24, 2005

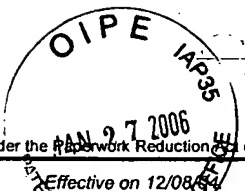
P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Commissioner for Patents is hereby  
authorized to charge payment to Deposit  
Account No. 18-0350 of any fees associated  
with this communication.

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail,  
with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450 on: May 24, 2005

  
\_\_\_\_\_  
Kathleen Spina

K:\I:\ARS\103US\ERRORPMTSMNTITYFEES.DOC

Effective on 12/08/04  
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 615.00**Complete if Known**

Application Number	10/699,713
Filing Date	November 3, 2003
First Named Inventor	James R. Becker
Examiner Name	William Charles Doemler
Art Unit	3744
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**COPY****METHOD OF PAYMENT** (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☐ Deposit Account Deposit Account Number: 18-0350 Deposit Account Name: RatnerPrestia

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

**2. EXCESS CLAIM FEES**

Fee Description	Small Entity	Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25	
Each independent claim over 3 (including Reissues)	200	100	
Multiple dependent claims	360	180	

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 20 or HP =	x	=				

HP = highest number of total claims paid for, if greater than 20

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/ 50 =	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Deficiency in erroneously filed small entity fees

615.00

**SUBMITTED BY**

Complete (if applicable)

Signature		Registration No. Attorney/Agent)	19,717	Telephone	610-407-0700
Name (Print/Type)	Allan Ratner			Date	May 24, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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